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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 21, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Takayuki NAKAJIMA
Appln. No.: 10/031,291
Filed: January 14, 2002
For: AUTHENTICATION SYSTEM AND
RELATED METHOD

Examiner: To Be Assigned
Art Unit: 2131

Attorney Docket No: 9683/100

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement (in dup.), Copy of Japanese Office Action, Form PTO-1449, 5 Cited References
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$145= | | | +\$290= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)

July 21, 2004
Date



CERTIFICATE OF MAILING

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July 21, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Signature

July 21, 2004

Date of Signature

Our Case No.: **9683/100**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takayuki NAKAJIMA

Serial No.: 10/031,291

Filing Date: January 14, 2002

For: AUTHENTICATION SYSTEM AND
RELATED METHOD

Examiner: To Be Assigned

Group Art Unit No.: 2131

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Prior art references B1-B4 were cited in an Office Action issued in a counterpart Japanese application. A copy of the Office Action is also enclosed with this Statement. Prior art references B3 and B4 are in Japanese. For prior art reference B3, Applicants are submitting a concise explanation of relevant part thereof. For prior art reference B4, Applicants are submitting prior art reference B5, which is a counterpart EPO application thereof.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

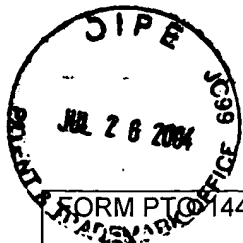
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Tadashi Horie
Registration No. 40,437
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



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|--|---------------------------------|---------------------------------|
| FORM PTO 1449 | SERIAL NO. 10/031,291 | CASE NO. 9683/100 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT | FILING DATE January 14, 2002 | GROUP ART UNIT 2131 |
| (use several sheets if necessary) | | APPLICANT(S): Takayuki NAKAJIMA |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|---|--|------|------|--------------------|----------------|
| | B | | | | | |
| | B | | | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
| | B1 | WO 98/47116 | 10/22/1998 | WIPO | | |
| | B2 | WO 97/45814 | 12/04/1997 | WIPO | | |
| | B3 | JP 10-198636 | 07/31/1998 | Japan | | Concise Explanation |
| | B4 | JP 08-096043 | 04/12/1996 | Japan | | Yes |
| | B5 | EP 0 708 547 A2 | 04/24/1996 | EPO | | |
| | B | | | | | |
| | B | | | | | |
| | B | | | | | |

EXAMINER
INITIAL

OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.